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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/573,527	03/24/2006	Kiyoshi Kato	0756-7660	5487

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EXAMINER

WOLDEGEORGIS, ERMIAS T

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2893

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10/30/2008

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/573,527	Applicant(s) KATO ET AL.	
	Examiner ERMIAS WOLDEGEORGIS	Art Unit 2893	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-16 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1-16 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 24 March 2006 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. ____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. ____. |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>3/24/2006</u> . | 6) <input type="checkbox"/> Other: ____. |

DETAILED ACTION

1. Status of Claims:

Claims 1-16 are pending.

Claims 1-16 are examined.

2. Priority

Acknowledgment is made of applicant's claim for foreign priority under 35 U.S.C. 119(a)-(d).

3. Information Disclosure Statement

The information disclosure statement (IDS) filed on 3/24/2006 has been acknowledged and a signed copy of the PTO-1449 is attached herein.

4. Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

5. Claims 1-16 are rejected under 35 U.S.C. 102(e) as being anticipated by Koyama et al. (**PG PUB No. US. 2005/0174845 A1, hereinafter "Koyama"**).

In regards to claim 1, Koyama et al. (**PG PUB No. US 2005/0174845 A1, hereinafter "Koyama"**) discloses (Figure 15) a memory device comprising a memory cell (**memory element (N-type TFT)**) formed over an insulating surface (**3000**), which includes a semiconductor film (**3003**) having two impurity regions (**3014/3015, Par [0120]**), a gate electrode (**3007/3011**), and two wirings (**3026/3027**) connected to the respective impurity regions (**3014/3015, Par [0120]**), wherein the semiconductor film (**3003**) interposed between the two wirings (**3026/3027**) of the memory cell (**memory element (N-type TFT)**) is altered by applying a voltage (**Par [0085]**) between the gate electrode (**3007/3011**) and at least one of the two wirings (**3026/3027**).

In regards to claim 2, Koyama discloses (Figure 15) the memory device (**memory element (N-type TFT)**) comprises two or more gate electrodes (**3007/3011**).

In regards to claim 3, Koyama discloses (Figure 15) the semiconductor film (**3003**) is altered to an insulating state by applying a voltage (**Par [0085]**) between the gate electrode (**3007/3011**) and at least one of the two wirings (**3026/3027**).

In regards to claim 4, Koyama discloses (Figures 22A-23I) a memory device comprising a first memory cell (**71**) and a second memory cell (**72**) formed over an insulating surface (**60/61**), each of which includes a semiconductor film (**57**) having two impurity regions (**65/68**), a gate electrode (**56**), and two wirings (**51**) connected to the

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respective impurity regions **(65/68)**, wherein the first memory cell **(71)** comprises an initial state **(this is inherently there at least one bit to tell whether data is stored or not)**; and the semiconductor film **(57)** interposed between the two wirings **(51)** of the second memory cell **(72)** is altered by applying a voltage **(Par [0085])** between the gate electrode **(56)** and at least one of the two wirings **(51)**.

In regards to claim 5, Koyama discloses (Figure 15) the memory device **(memory element (N-type TFT))** comprises two or more gate electrodes **(3007/3011)**.

In regards to claim 6, Koyama discloses (Figure 15) the semiconductor film **(3003)** is altered to an insulating state by applying a voltage **(Par [0085])** between the gate electrode **(3007/3011)** and at least one of the two wirings **(3026/3027)**.

In regards to claim 7, Koyama discloses (Figure 15) a memory device comprising a memory cell **(memory element (N-type TFT))** formed over an insulating surface **(3000)**, which includes a semiconductor film **(3003)** having one or two impurity regions **(3014/3015)**, an electrode **(3007/3011)**, and two wirings **(3026/3027)** connected to the respective impurity regions **(3014/3015)**, wherein the semiconductor film **(3003)** interposed between the two wirings **(3026/3027)** of the memory cell **(memory element (N-type TFT))** is altered by applying a voltage **(Par [0019])** between the electrode **(3007/3011)** and at least one of the two wirings **(3026/3027)**.

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In regards to claim 8, Koyama discloses (Figure 15) the electrode **(3007/3011)** is interposed between the two wirings **(3026/3027)**.

In regards to claim 9, Koyama discloses (Figure 15) the memory device **(memory element (N-type TFT))** comprises two or more electrodes **(3007/3011)**.

In regards to claim 10, Koyama discloses (Figure 15) the semiconductor film **(3003)** is altered to an insulating state by applying a voltage **(Par [0019])** between the gate electrode **(3007/3011)** and at least one of the two wirings **(3026/3027)**.

In regards to claim 11, Koyama discloses (Figure 22A-23I) a memory device comprising a first memory cell **(71)** and a second memory cell **(72)** formed over an insulating surface **(60/61)**, each of which includes a semiconductor film **(57)** having one or two impurity regions **(65/68)**, an electrode **(56)**, and two wirings **(51)** connected to the respective impurity regions **(65/68)**, where in the first memory cell **(71)** has an initial state **(this is inherently there at least one bit to tell whether data is stored or not)**; and the semiconductor film **(57)** interposed between the two wirings **(51)** of the second memory cell **(72)** is altered by applying a voltage **(Par [0085])** between the electrode **(56)** and at least one of the two wirings **(51)**.

In regards to claim 12, Koyama discloses (Figures 22A-23I) the electrode **(56)** is

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interposed between the two wirings **(51)**.

In regards to claim 13, Koyama discloses (Figures 22A-23I) the memory device **(memory 74)** comprises two or more electrodes **(56)**.

In regards to claim 14, Koyama discloses (Figures 22A-23I) the semiconductor film **(57)** is altered to an insulating state by applying a voltage **(Par [0019])** between the gate electrode **(56)** and at least one of the two wirings **(51)**.

In regards to claim 15, Koyama discloses (Figures 22A-23I) a manufacturing method of a memory device, comprising the steps of: forming an island shape semiconductor film **(Par [0160])** over an insulating surface **(60/61)**; forming a gate insulating film **(Par [0168])** over the island shape semiconductor film **(57)**; forming a gate electrode **(Par [0169])** over the gate insulating film **(58)**; doping an N-type impurity element **(Par [0120] and Par [0180])** with the gate electrode used as a mask **(an N-type impurity element 78 (typically P or As) is doped at a high concentration with the gate electrode 56 and the sidewall 76 used as a mask Par [0180])**, thereby forming an N-type high concentration impurity region **(78)** in the island shape semiconductor film **(57)**; forming an interlayer film **(Par [0153])** over the gate insulating film **(58)** and the gate electrode **(56)**; forming a contact hole **(Par [0185])** in the interlayer film **(53)** and a wiring **(51)** connected to the high concentration impurity region **(78)**, thereby forming a memory cell **(memory 74)**, and applying a voltage between the gate electrode and the

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wiring of the memory cell, thereby altering a channel region of the island shape semiconductor film to an insulating state (**Par [0085]**).

In regards to claim 16, Koyama discloses (Figures 22A-23I) the memory device (**memory 74**) comprises two or more gate electrodes (**56**).

6. Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to ERMIA WOLDEGEORGIS whose telephone number is (571)270-5350. The examiner can normally be reached on Monday through Friday 8:30 AM to 6:00 PM E.S.T..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Daveinne Monbleau can be reached on 571-272-1945. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/ERMIAS WOLDEGEORGIS/
Examiner, Art Unit 2893

/A. Sefer/
Primary Examiner
Art Unit 2893